

## Plan Overview

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*A Data Management Plan created using DMP Tool*

**Title:** Convening: Advancing a collaborative and inclusive vision for open anthropological scholarship

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**Funder:** National Endowment for the Humanities (neh.gov)

**Funding opportunity number:** 20230112-HAA

**Grant:** <https://www.neh.gov/grants/odh/digital-humanities-advancement-grants>

**Template:** NEH-ODH: Office of Digital Humanities

### Project abstract:

The American Anthropological Association (AAA), in partnership with the University of Maryland and University of Michigan, propose a convening, funded by a Level 1 grant, to strategize paths forward to: (1) Develop collaborative and inclusive guidelines for open scholarship practices and public access compliance within the anthropology discipline; and (2) Identify service models that will best support anthropologists at different career and research lifecycle stages in adopting open practices.

**Start date:** 11-20-2023

**End date:** 09-15-2024

**Last modified:** 07-08-2024

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## **Convening: Advancing a collaborative and inclusive vision for open anthropological scholarship**

### **Roles and responsibilities**

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**The DMP should clearly articulate how sharing of primary data is to be implemented. It should outline the rights and obligations of all parties with respect to their roles and responsibilities in the management and retention of research data. It should also consider changes to roles and responsibilities that will occur if a project director or co-project director leaves the institution or project. Any costs stemming from the management of data should be explained in the budget notes.**

The American Anthropological Association (AAA)/ PI will develop and maintain the primary data, including pulse survey results, usage and attendance statistics, and, if implemented, applications for need-based travel funding. The PI (AAA) will not share primary data publicly. The pulse survey data and usage and attendance statistics will be shared with the co-directors, University of Maryland and University of Michigan, to inform the agenda for the in-person convening. If a project director leaves, the responsibilities will fall to the person at each institution (AAA, University of Michigan, and University of Maryland) who takes over for the individual who has left. The application data will only be shared with the co-directors, if implemented, to assess travel funding needs. There will be no extra costs associated with this data maintenance and sharing.

### **Expected data**

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**The DMP should describe the types of data, samples, physical collections, software, curriculum materials, or other materials to be produced in the course of the project. It should then describe the expected types of data to be retained.**

**Project directors should address matters such as these in the DMP:**

- **the types of data that their project might generate and eventually share with others, and under what conditions;**
- **how data will be managed and maintained until shared with others;**
- **factors that might impinge on their ability to manage data, for example, legal and ethical restrictions on access to non-aggregated data;**
- **the lowest level of aggregated data that project directors might share with others in the scholarly or scientific community, given that community's norms on data;**
- **the mechanism for sharing data and/or making it accessible to others; and**
- **other types of information that should be maintained and shared regarding data, for example, the way it was generated, analytical and procedural information, and the metadata.**

The project will produce the following documents (.pdf): a set of asks and offers from various participants (with data collected from participants and community members), a white paper (as required by the grant and created based on notes from each convening), and proof of concept document for an open scholarship support service in anthropology (developed based on the work previously described and the convenings). All three will be made publicly available on AAA's website as soon as they are finalized, and shared with certain groups to contribute before and during convenings throughout the project. The documents will be given an open and reusable license (like a Creative Commons license) for others to share, unless specific information for and about indigenous communities does not meet CARE principles for Indigenous Data Governance. We do not anticipate that such data will be created or collected. The above documents will be maintained by AAA.

### **Period of data retention**

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**NEH is committed to timely and rapid data distribution. However, it recognizes that types of data can vary widely and that acceptable norms also vary by discipline. It is strongly committed, however, to the underlying principle of timely access. In their DMP applicants should address how timely access will be assured.**

Other than primary data about convening participants, which will not be shared publicly, the deliverables and their underlying data (convening notes) will be accessible and shared publicly as soon as they are in draft form no later than the project end date on 9/15/2024.

## **Data formats and dissemination**

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**The DMP should describe data formats, media, and dissemination approaches that will be used to make data and metadata available to others. Policies for public access and sharing should be described, including provisions for appropriate protection of privacy, confidentiality, security, intellectual property, or other rights or requirements. Research centers and major partnerships with industry or other user communities must also address how data are to be shared and managed with partners, center members, and other major stakeholders.**

The documents described as project outputs will be made publicly accessible on or before 9/15/2024 in .pdf format on AAA's website. AAA will use Chatham House Rule at each convening to ensure that no specific person or group is identified in the documents, unless that group or individual would like attribution.

## **Data storage and preservation of access**

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**The DMP should describe physical and cyber resources and facilities that will be used to effectively preserve and store research data. These can include third-party facilities and repositories.**

AAA will use Qualtrics to collect and store primary data.

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## **Planned Research Outputs**

### **Collection - "Asks and Offers"**

The Asks and Offers document (to be created collaboratively, prior to the in-person convening) will lay out what each convening participant and various sectors (federal agencies, higher education, professional societies, community members, philanthropies) can bring to, or “offer” to, the cross-sector conversation to catalyze open scholarship support and public access compliance within the discipline, and “asks” – what is needed from other groups to optimize and scale these efforts. The asks and offers will be collaboratively developed among the communities present at the convenings. Example asks and offers might include: (Offer) Colleges and universities will curate existing open scholarship resources such as trainings, curriculum, and compliance resources from various sectors, if (associated Ask) AAA, researchers, and community members can help articulate gaps/barriers they face in practicing openly (sharing data in a FAIR manner, publishing preprints, etc.).

### **Text - "White Paper"**

The white paper will synthesize information from convening events, including recommendations for next actions by the community and the convening team toward creating a support service and identifying necessary resources. It will answer the question: Were we able to address critical issues we set out in our proposal with the structure/process of a convening?

### **Text - "Proof of Concept"**

The proof of concept for a support service, which will be finalized after the in-person convening, will lay out the following details: (1) How the support service ensures ‘open’ is easier for researchers to do, with a single entry point for specific open scholarship “how to” questions, making it more likely that a researcher will find what they need. (2) How the service will link researchers to local institutional experts and a community of disciplinary peers to understand not only the mechanics of, e.g., data sharing, but how to ethically practice open scholarship while respecting Indigenous communities and other ethical needs. (3) How guidance will be provided for conforming with emerging federal and philanthropic funding requirements in the humanities and humanistic social sciences. (4) Methods to accelerate development of the detailed disciplinary guidance that is a major bottleneck for widespread adoption of open scholarship practices.

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## **Planned research output details**

<b>Title</b>	<b>Type</b>	<b>Anticipated release date</b>	<b>Initial access level</b>	<b>Intended repository(ies)</b>	<b>Anticipated file size</b>	<b>License</b>	<b>Metadata standard(s)</b>	<b>May contain sensitive data?</b>	<b>May contain PII?</b>
Asks and Offers	Collection	2024-04-17	Open	None specified		Creative Commons Attribution Share Alike 4.0 International	None specified	No	No
White Paper	Text	2024-09-14	Open	None specified		Creative Commons Attribution 4.0 International	None specified	No	No
Proof of Concept	Text	2024-09-14	Open	None specified		Creative Commons Attribution 4.0 International	None specified	No	No